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The role of subsidence in shelf widening around ocean island volcanoes: Insights from observed morphology and modeling

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Key Points: (85 characters)

- Shelf break depths on old edifices of the Azorean islands are commonly >130 m.
- Edifices have subsided significantly since their shelf breaks were formed.
- A coastal erosion model evaluates the role of subsidence in shelf widening.
- Subsidence plays a crucial role in widening and deepening insular shelves.

Abstract

On reefless volcanic islands, insular shelves are thought to have formed essentially by the combined effects of wave erosion and glacio-eustatic sea-level oscillations. Subsidence, however, has also been recognized as having an important role in the development of these morphologies. Yet, few studies have quantified the relative contribution of subsidence to shelf generation and development, particularly to shelf width. A better understanding of this contribution, however, is key to understand the long-term evolution of coasts at volcanic islands, particularly given that subsidence may exacerbate the effects of marine erosion. In this study we assess quantitatively the role of subsidence in shelf development by comparing real cross-shore shelf elevation profiles with modeled profiles using varied rates of subsidence. To achieve this, we used shelf bathymetric profiles from Faial and São Jorge islands in the Azores, which we compared with predictions of a numerical model of coastal erosion that has been calibrated previously against other field data. The first set of model runs were made to calibrate the model by determining the values that produced shelves with break depths, widths, and profile shapes that were similar to those observed. The second set of runs, which served to evaluate the contribution of subsidence to shelf widening, revealed that subsidence may have been responsible for increasing shelf widths by almost 2.5 times relative to shelves formed only by the combined effects of wave erosion and glacio-eustatic oscillations. Modeling shelf formation on the same islands but with increased subsidence rates up to 2.2 mm/year resulted in shelf profiles up to 19 km wide, a value 3 to 6 times greater than observed on these islands. Our study therefore reinforces the idea that subsidence is a key contributor to the generation of broad insular shelves, given its role in enhancing coastal retreat. Our shelf evolution modeling also suggests that, notwithstanding the crucial role subsidence plays in increasing the width of shelves, on islands subjected to energetic wave regimes (as it happens in the Azores and Hawaii), modification by erosion is important

26 enough to result in shelf morphologies that are not constructional in essence, but rather
27 dominantly erosive surfaces. This study therefore contributes to a better understanding of
28 how insular shelves and submarine terraces form and develop by proving important new
29 quantitative insights of the role of subsidence on the generation of these morphologies.

1 **1 Introduction**

2 Continental and insular shelves are extremely important for our society in spite of
3 their small size (cumulatively only cover ~8% of the total oceans by area). They are areas of
4 terrigenous sediment input from continents and islands, where economically important
5 mineral deposits form [Rona, 2008] and significant carbon is sequestered [Leithold et al.,
6 2016]. They are also the ocean area most used for navigation, recreation, fishing, aquaculture,
7 mineral exploration and waste disposal [Chiocci and Chivas, 2014]. Hence, small
8 environmental changes to the coastal border of these systems can have a disproportionate
9 impact on human activities. Following the development of single-beam echo-sounders, and
10 into the 2nd half of the 20th century, the exploration of continental shelves rapidly expanded
11 [e.g., Dietz and Menard, 1951; Emery, 1965; Hayes, 1964; Hedberg, 1970; Inman and
12 Nordstrom, 1971; Shepard, 1973; Vanney and Stanley, 1983]. More recently, there has been
13 an increasing interest in improving our knowledge of the processes affecting the formation
14 and evolution of such shelf systems [e.g., Helland-Hansen et al., 2012; O'Grady et al., 2000;
15 Paris et al., 2016; Schlager and Adams, 2001]. The shelves of volcanic ocean islands,
16 however, have received comparatively little attention since the seminal works of Menard
17 [1983; 1986]. The study of insular shelves is, however, crucial to our society given that most
18 island populations depend on the marine biological and geological resources found in these
19 environments, as well as on the income proportioned by coastal tourism. Additionally, insular
20 shelves and coastlines are far more exposed to rapid change than their continental
21 counterparts, posing more serious hazards to coastal communities [Ramalho et al., 2013]. It is
22 thus of primal importance to understand the role of different processes affecting coastal
23 evolution and shelf development in these settings, particularly concerning marine erosion.

Volcanic oceanic islands are surrounded invariably by shelves, which are low-lying submarine zones that extend from the coast to the depth at which there is a marked increase in gradient to the submarine slopes of the volcanic edifice (Quartau et al., 2010; Ramalho et al., 2013). These shelves are thought to form mainly as wave eroded intertidal zones migrate landward and seaward with sea level changes. As a consequence, shelf width increases through time as coastlines retreat with marine erosion, leading to a common relationship between shelf age and shelf width (Menard, 1983; Le Friant et al., 2004; Llanes et al., 2009; Quartau et al., 2010). However, a closer look at the morphology of shelves reveals that they result from a more complex set of processes, including volcanism, tectonics and vertical movements, subaerial erosion, sedimentation and mass-wasting (Quartau et al., 2012; Ramalho et al., 2013). Therefore, the correlation between shelf age and shelf width is not always straightforward, especially on older islands where the combined effects of different processes can make their shelves geomorphologically more complex (Menard, 1983; Quartau et al., 2010, 2014, 2015; Romagnoli, 2013). For example, Quartau et al. (2010) used a model to investigate the role of mechanical wave erosion in the development of the shelf of Faial Island (Azores). They found that, although the shelf was mainly formed by wave erosion, other geological processes contributed to its development. The role of these processes, however, was only discussed qualitatively.

From all the mechanisms recognized as having a role in shelf development, perhaps none has fueled more discussion than subsidence. Researchers in general agree that subsidence influences shelf development (e.g., Menard, 1983; Ramalho et al., 2013; Quartau et al., 2014; Trenhaile, 2014; Ramalho et al., 2017), but its importance is still debated (e.g., Marques et al., 2016; Quartau et al., 2016). Ocean island volcanoes are generally expected to subside by varying amounts, and only very rarely are subjected to significant uplift trends (Ramalho et al., 2010a,b). Significant subsidence is expected to occur particularly during the

early shield building stages, when rapidly-growing volcanic edifices load the underlying lithosphere, causing plate flexure (Watts and ten Brink, 1989). Towards the end of the shield-building phase, when volcanoes reach their maximum size, subsidence related to flexural loading supersedes volcanic growth and volcanoes usually begin to submerge without additional topographic growth (Huppert et al., 2015). This subsidence is expected to wane rapidly (on a geological timescale) once a volcanic edifice is built, as viscous relaxation of the lithosphere gives way to its longer-term flexural strength (Brotchie and Silvester, 1969; Walcott, 1970; McNutt and Menard, 1978; Minshull et al., 2010). Islands are also generally subjected to longer-term, slower-acting subsidence on account of cooling of the underlying lithospheric plate (Stein and Stein, 1992), which may be enhanced if the lithosphere is significantly heated and ‘reset’ by magmatism (Detrick and Crough, 1978). The global variation of seafloor depth is generally controlled by the oceanic plates’ thermal evolution, in which oceanic lithosphere cools as it spreads away from mid-ocean ridges, becoming denser as it ages, therefore resulting in a predictable bathymetric profile, with depth roughly equivalent to $\text{age}^{1/2}$ and asymptotically trending to equilibrium at ~70 Ma (Stein and Stein, 1992). Long-term island subsidence is thus dominantly controlled by thermal subsidence of the underlying plate, except when volcanic island edifices are built on stable >70 m.yr.-old lithosphere. Magmatism and hotspots swells may affect this trend by means of rejuvenating the lithosphere or more importantly by causing uplift through either the accumulation of buoyant melt residue underneath the lithosphere (Morgan et al., 1995; Ramalho et al 2010b.) or through dynamic topography (Sleep, 1990; Ribe and Christensen, 1999; Pim et al. 2008). Hotspot swell decay, however, contributes to island subsidence, at least on fast-moving plates with respect to the melting source (Morgan et al. 1995; Ramalho et al., 2013). Considerable tectonic subsidence may also affect islands that are located in diffuse extensional or

73 transtensional plate boundaries, as on some of the Azores Islands and in Iceland (Islam et al.,
74 2016; Madeira et al, 2015; Marques et al., 2013).

75 Given these diverse mechanisms, it is not surprising that subsidence influences shelf
76 development. However, different researchers have considered the role of subsidence in shelf
77 development to be dominant or secondary relatively to the combined effects of marine
78 erosion and glacio-eustatic oscillations. Marques et al. (2016), for example, suggested that
79 subsidence takes a leading role in shelf formation, to the point that shelf morphology is
80 essentially constructional in nature. According to this view, the shelf break corresponds to the
81 transition between the subaerial and submarine slopes of the volcanic edifice, i.e. between
82 subaerial and submarine lava flow sequences that have been submerged by rapid subsidence.
83 This model might be applicable to the rare cases of island coastlines subjected to very slow
84 marine erosion rates (e.g. the case of the younger Galapagos Islands) and/or very fast
85 subsidence. However, its applicability to other settings has been questioned because of
86 erosive insular shelf profiles (upwards-concave and with gradients that are significantly lower
87 than the subaerial slopes of the volcanoes), high coastal cliffs, and possibly overestimated
88 subsidence rates (Quartau et al., 2016). Notwithstanding these considerations, the relative
89 role of subsidence in insular shelf development remains largely unquantified, and constitutes
90 an important scientific question.

91 The purpose of this study was to quantitatively assess the role of subsidence in the
92 formation of insular shelves. A wave erosion model was used to simulate the cross-shore
93 shelf profiles of two subsiding islands in the Azores, to determine their rates of subsidence
94 and to compare these profiles with those produced under otherwise similar conditions on
95 stable landmasses. The model was used more generally, to investigate the effect of variable
96 rates of subsidence on insular shelf formation and on the age of the shelf breaks formed under
97 oscillating sea level conditions during the Quaternary.

98

99 **2 Materials and Methods**100 **2.1 The Azores as a case study**

101 The Azores Archipelago is a group of relatively young volcanic islands that straddle
102 the triple junction between the Eurasian (Eu), Nubian (Nu), and North American (NA)
103 tectonic plates (Laughton and Whitmarsh, 1974). In the Azores, volcanism is mainly
104 tectonically controlled and occurs along faults (fissure volcanic systems) or at fault
105 intersections (central volcanoes), often resulting in volcanic edifices with varied ages and
106 complex morphologies (Marques et al., 2013; Madeira et al., 2015). It is therefore not
107 surprising that shelf width and the depth of the shelf breaks vary on different volcanic
108 edifices, even on the same island. A rough relationship between edifice age and shelf width
109 and depth has been established from coasts subjected to similar wave regimes (Quartau et al.,
110 2014; Quartau et al., 2015). Crucially to this study, however, shelf breaks around the oldest
111 sectors of some islands are significantly deeper than sea levels attained during Late
112 Pleistocene lowstands. This suggests that subsidence played a role in shelf development on
113 these islands (Quartau et al., 2014; 2015; 2016), which is not surprising given that the islands
114 used in this study are located on young lithosphere and lie in the vicinities of the Terceira rift,
115 a boundary that is mostly extensional (Madeira et al., 2015; Marques et al., 2013). The central
116 Azores Islands are an ideal case study to quantify the contribution of subsidence to shelf
117 development because: (1) They constitute one of the few places for which ample bathymetric
118 data exists covering the island shelves and for which the age of the original volcanic slopes of
119 the edifice are known; 2) The islands are subjected to both subsidence and considerable wave
120 erosion, , allowing for an investigation of the individual role of each of these mechanisms in
121 the generation of shelf profiles.. The Azores is therefore seen as a good representative for

reefless volcanic islands subjected to medium subsidence rates and subjected to energetic wave regimes.

2.2 Observed morphologies

The offshore rocky edge of an insular shelf (below any sediment cover) is normally a wave erosional feature, which formed when sea level was at that lowered position during a lengthy period of volcanic inactivity. The two shelf areas selected for this subsidence analysis (Faial and São Jorge; see Figure 1 and Table 1) lie adjacent to volcanic edifices that are the oldest on each island and have shelf breaks significantly deeper than levels attained during Pleistocene glacial-maxima (~130 m). Assuming these shelf breaks were cut during times of low sea level, then these islands must have subsided. The lack of morphologically-preserved submarine lava flows on the studied sectors of these shelves implies that no offshore volcanic progradation occurred after most of the shelf was carved (Figures SM 1 and SM2 in supplementary material). However, in the older sectors of these islands, there is considerable variability in the depth of the shelf break due to small mass-wasting events, which caused headward erosion and effective shoaling of this feature. Hence, we selected the cross-shore profiles in areas least degraded by mass wasting and where deep breaks suggested maximum amounts of subsidence (Figures SM 1 and SM2 in supplementary material).

Distinguishing erosional shelf breaks from depositional shelf breaks is complicated. The outer parts of the shelves are frequently covered by sediments up to several 10s of meters thick, which obscure the underlying erosional shelf surface and reduce the depth of the topographic edge (Quartau et al., 2012; 2014; 2015; 2016). The thickness of the sedimentary cover can only be determined with reflection seismic data, which unfortunately was not available for the selected study sites. We used instead two approaches to locate the erosional

shelf edge, one more conservative that provides a shallower position (blue dashed line in Figure 2), and another less-conservative estimate that is deeper (black dashed line in Figure 2). In our more conservative approach, the erosional shelf break was inferred as being located where the tangent to the outermost shelf surface detaches from the seafloor (method 2C in Figure 2 of Wear et al., 1974). In contrast, in our less conservative approach, the break was inferred to lie where the tangent to the steepest slope gradient detaches upward from the slope profile. From previous studies of Quartau et al. (2012; 2014; 2015) using seismic reflection data, the less conservative estimate is normally closer to the true erosional shelf break, though here we consider both estimates for completeness.

2.3 The erosional model

Modeling allows the effects of changing sea level to be integrated with changes in the elevation of the land that is being eroded; hence it is particularly useful for studying the development of slowly evolving rock coasts over long periods of time. There have been several attempts to model the formation of erosional submarine shelves, although most have involved a simple rise or fall in sea level rather than the more complex changes in rates and directions that have occurred in the last few million years over multiple glacial-interglacial cycles (Trenhaile, 2000; Quartau et al., 2010; Stephenson et al., 2013). There have also been few attempts to include the additional effect of simultaneous uplift or subsidence of the land (Trenhaile, 2014).

The model that we apply here has been used previously to investigate the development of shore platforms, elevated marine terraces, and continental shelves (Trenhaile, 2000; 2014). It was also employed by Quartau et al. (2010) to simulate the formation of the submarine shelf around Faial Island in the Azores, incorporating changes in sea level during

the middle and late Quaternary. Estimates of the amount of subsidence in that paper were based on differences between the depths of shelf breaks modeled without any subsidence and the observed breaks derived from multibeam sonar and chirp and boomer seismic reflection mapping. In the present paper, which is concerned specifically with the effect of subsidence on the development of insular shelves, subsidence operated simultaneously with changes in sea level, and model runs therefore incorporated the amplifying effects of subsidence on rates of erosion due to increasing water depths and decreasing rates of wave attenuation.

In constructing the model, mechanical wave erosion was assumed to be accomplished predominantly by broken waves, from wave quarrying of rocks at or close to the water surface and abrasion in shallow-water (Trenhaile, 1987; Cruslock et al., 2010; Naylor and Stephenson, 2010). The model derivation has been described in detail previously (e.g., Trenhaile, 2000; 2002; 2014), so only a basic outline is provided here (SI units are used throughout this discussion).

According to USACE (1984), the wave stress (kg m^{-2}) at the breakers (τ_b) is given by::

$$\tau_b = 0.5\rho_w h_b \quad (1)$$

where ρ_w (1025 kg m^{-3}) is the unit weight of water and h_b is the breaker depth. Incorporating a surf decay function (e^{-kW_s}), which considers the width (W_s) and geologically induced surface roughness of the surf zone (k), and the minimum or threshold stress for rock erosion (τ_c), provides an expression for the excess surf stress at the shoreline (τ_e):

$$\tau_e = 0.5\rho_w h_b e^{-kW_s} - \tau_c \quad (2)$$

Waves break when:

$$h_b = 1.28H_b \quad (3)$$

where H_b is the breaker wave height, calculated from the wave period and deep-water wave height using Komar and Gaughan's (1972) equation. Therefore:

$$\tau_e = 656H_b e^{-kW_s} - \tau_c \quad (4)$$

To determine the annual amount of erosion (E_{WL}) at each intertidal elevation, the contribution of each type of wave was summed, according to its frequency (W_n , the number of waves of each type per hour) and the tidal duration (T_d , the annual number of hours that the tide occupies each intertidal elevation). For example, at the mean high water spring ($MHWS$) tidal level the annual amount of erosion (m) was equal to:

$$E_{MHWS} = MT_d W_n 656H_b e^{-kW_s} - \tau_c \quad (5)$$

Where the scaling coefficient $M (6.5 \times 10^{-10} \text{ m}^3 \text{ kg}^{-1})$ converts excess surf stress into erosional units. Calculations were made at five, equally-spaced elevations (0.4 m apart) within the intertidal zone, at the $MHWS$, mean high water neap, mid-tide (MT), mean low water neap ($MLWN$), and mean low water spring tidal levels.

A decay function was also used to represent slower rates of erosion (E_u) accomplished by wave-generated bottom currents and abrasion below the sea surface:

$$E_u = E_y e^{sh} \quad (6)$$

Where E_y is the annual erosion at the waterline, with subscript y referring to each intertidal level or subtidal interval (0.4 m apart) at higher elevations, s is a depth decay constant ($s = 1 \text{ m}^{-1}$ in the model runs) and h is the local water depth (m). This equation was used to calculate the annual amount of submarine erosion when the waterline was at each of

the five intertidal elevations, from the *MHWN* tidal level to half the wavelength of the wave below the *MLWS* tidal level. The submarine erosional contribution (equation 6) was then combined with the erosion occurring at the water surface (equation 5) to determine the total annual erosion at each intertidal and subtidal elevation (Figure 3). These totals were then multiplied by 25 to represent the erosion occurring over 25-year iteration intervals.

Model parameters, including M , s , τ_c , and k , were determined by Trenhaile (2000), who used model equations for the surf stress at the shoreline, and for erosion rates at the shoreline and in the submarine domain to obtain a range of values that encompassed the wide variety of conditions existing in the field. The same tidal and wave conditions were used as in the previous study of Faial Island (Quartau et al., 2010), using Carvalho's (2003) hindcast data to determine the percentage frequency of nine waves with different significant wave heights (ranging from 1- 16 m) and periods according to the orientation (in 45° units) of each coastal sector. Wave conditions for each of the two study areas were represented by the combined data from directions normal to the coast and from directions $\pm 45^\circ$ to the normal. For example, the essentially northerly-oriented Faial coastal sector was represented by a combination of the wave data from the northwest, north, and the northeast. The lack of relevant data prevented the effect of shelter and reduced wave fetches from the southwest on São Jorge Island and from the northeast on Faial Island being considered in this study. Tidal duration values were calculated for Porto da Horta on Faial Island using Smart and Hale's (1987) program and tidal data from Instituto Hidrográfico (2007). Bintanja and van de Wal's (2008) composite sea-level curve (Figure 4), which used a simple ocean-temperature model to correct benthic $\delta^{18}\text{O}$ records from 57 globally distributed sediment cores, was used for periods corresponding to the estimated volcanic age of each coastal sector, which was 1.3 Ma on São Jorge and 0.85 Ma on Faial (Figures SM1 and SM2). In most model runs, the initial linear surface had a 25° gradient corresponding roughly to the degraded slopes of the

volcanic hinterlands found in each of the two sites and with the gradients of the uneroded slopes preserved beneath the shelf breaks. Otherwise identical runs with initial slope gradients of 5°–30° demonstrated, as previously shown by Quartau et al. (2010), that the gradient of the initial slope had little effect on the gradient of the simulated shelf profiles, given the fairly rapid erosion and the relatively long timescale considered in the simulations. We did not include an isostatic term to account the response of the shelf to erosion because we believe it would be negligible. Smith & Wessel (2000) modeled the isostatic effect of the giant landslides of Hawaii, a much larger volume and the smaller slides (e.g., Alika I and II, with volumes of one order magnitude greater than our modeled shelf erosion) produced only 17 m and 7 m uplift for T_e corresponding to 25 and 40 km, respectively.

There were three types of model run. The first was designed to replicate the shelves in the two study areas, using the relevant orientation-dependent wave conditions for the two profile sites. Runs were carried out in each study area for which we have estimated maximum and minimum amounts of subsidence (respectively red and green dots in Figure 5). These runs used a variety of k values (surface roughness - surf attenuation rates which control shelf width) and subsidence rates (which determine the depth of the shelf break) to determine the values that produced shelves with break depths, widths, and profile shapes that were similar to those observed. These optimum values were then used in a second series of runs that employed the same glacial-interglacial changes in sea level but with no changes in the elevation of the land. The third type of run, using the values for k that best simulated the four shelves, were made to investigate further the effect of subsidence on shelf morphology. These runs were made only for the deeper shelf break estimates of Faial and São Jorge with subsidence ranging from less than 50 m to almost 2000 m, attained during the time elapsed since the original volcanic slopes were formed.

4 Results

The modeling results are shown in Figure 5 and Table 1. The model recorded the lowest level of erosion and when it occurred (as labeled on the profiles in Figure 5). Linear subsidence was chosen for the sake of simplicity, although we are aware that subsidence of ocean islands typically operates rapidly during the initial stages of island building, and wanes to a slow trend after that (Sharp & Renne, 2005; Watts & Zhong, 2000). Rates of subsidence were calculated from the following expression assuming subsidence has been constant (as opposed to being episodic or accelerating or retarding).

$$(A-R) / T \quad (5)$$

Where A is the original, formative, elevation of the shelf break before subsidence, R is our estimate of the present shelf break depth and T is the time elapsed since the shelf break was cut as calculated by the model during each run. The amount and rate of subsidence (Table 1) is therefore based on the age of the edge as well as its depth.

According to our modelling, subsidence increased the width of the shelf by 2.00 to 3.42 times, when compared with scenarios without subsidence (Figure 5 and Table 1). Modeling with hypothetical higher subsidence scenarios (Figure 6 and Table 2) suggests that there is a linear relationship between subsidence (or subsidence rates) and shelf width for both islands. The curve for São Jorge Island is steeper because the island is older and wave erosion has been acting for a longer period. Table 2 also shows that for islands with such ages, exposed to similar coastal erosion rates and wave properties, when subjected to subsidence rates over 0.11 mm/yr (São Jorge) or 0.17 mm/yr (Faial), the contribution of subsidence to shelf widening surpasses that of wave erosion, i.e, subsidence leads to more

than a twofold increase in shelf width. Notwithstanding this observation, the modeling shows that shelf profiles on these islands are unequivocally erosional in nature and not constructional as suggested by Marques et al. (2016). In addition, morphological evidence (Figure 5 and figures SM 1 and SM2 in supplementary material) attests that these shelves are old and subjected to continuous coastal recession, because: (1) shelf erosional profiles are sharply angular, with high cliffs and submarine slopes that extend below the shelf edge; and, (2) there are no morphologically-preserved submarine lava flows on these areas of the shelves that would imply recent volcanic progradation.

5 How does subsidence help to widen shelves?

There are two main reasons why rising relative sea level promotes the widening of erosion surfaces. First, it increases nearshore water depths and lowers rates of wave attenuation, causing the breaker zone to migrate closer to shore. This reduces the energy lost by waves in crossing turbulent surf zones and increases the amount of energy expended at the cliff foot (Trenhaile, 2014). The effect of rising relative sea level would have been particularly pronounced following the end of each glacial period, especially on subsiding landmasses. Second, rising relative sea level amplifies the range of elevations, extending from essentially the present sea level to the shelf break, over which waves have operated. The effect of this factor is therefore closely related to the depth of the shelf break.

The shelf break marks the depth below which marine erosional processes have been ineffective. On stable landmasses, this corresponds to the elevation of the low tidal level (or, depending on submarine erosional efficacy, the shallow subtidal zone) of the lowest, glacial sea level. Conversely, on subsiding coasts, the model demonstrated that the age and depth of shelf breaks are determined by the elevation of the formative sea-levels and the time and rate

that the land has been subsiding since the edges were cut. For instance, a slope cut during an early -50 m low sea level would form a break, which followed by 60 m of subsidence, would end up in a position lower than what erosion would be able to accomplish during a much later -100 m sea-level stand. This is the case for the two coasts that were modeled (see time labels in Figure 5).

On subsiding landmasses, the depth of the shelf break (Sb) is related to:

$$Sb = LT_e + (Sr \times T) \quad (6)$$

Where LT_e is the elevation of the low tidal level or shallow subtidal zone during the period of formation, Sr is the annual amount of landmass subsidence, and T is the elapsed time since formation of the shelf break. The shelf break corresponds to the glacial sea level that produced the highest Sb value, and was therefore below, and protected, from modification in the intertidal zones of later glacial sea levels because of either rapid subsidence or shallower lowstands or the combination of these two. With shelf gradients related to the same variables as modern intertidal shore platforms, including tidal range and rock resistance (Trenhaile, 1987; Pérez-Alberti et al., 2012; Matsumoto et al., 2017), the greater the subsidence of a landmass the deeper the shelf break, and consequently, the wider the shelf (Table 2).

The model also suggested that on rapidly subsiding landmasses, the age (time of formation) of the shelf break tends to correspond to glacial periods preceding the middle Quaternary Transition (MQT), about 0.8 Myr ago (Figure 4). Although these glacial sea levels were not as low as those occurring after the MQT, rapid subsidence was able to carry them to depths below the level of subsequent wave action. Conversely, on slowly subsiding land masses the shelf break is more likely to have formed in the early Middle Quaternary when the amplitude of the sea level oscillations roughly doubled, with glacial sea levels that

reached below the elevation of older, although slightly subsided, pre-MQT shelf breaks (Table 2).

6 Implications of this study

The model results suggest that subsidence can exert a strong influence on the width of submarine terraces and shelves. This can be inferred from the trends towards greater subsidence in Figure 6 and Table 2; islands with faster subsidence have significantly wider shelves or submarine terraces than islands with slower subsidence. This effect is not only because the increase of the submarine area but also because erosion is faster on islands with high subsidence rates. For example, there are wide submarine terraces on the rapidly subsiding Hawaiian islands, where the deepest shelf breaks are at depths of a little below - 1800m (e.g., the SE border of the Hana Ridge, which is about 70 km from the present coast) (Faichney et al., 2010). Hawaiian subsidence rates can be one order of magnitude greater (average ~2 mm/yr according to Table 1 of Huppert et al., 2015) than those in the Azores (Table 1), and the width of the Hawaiian terraces is much greater (e.g., maximum shelf width of 5 km for São Jorge Island). These differences can be attributed in part to different subsidence rates, with model simulations (Table 2) suggesting that insular shelves up to 19 km in width could have developed in the Azores if subsidence rates had been similar to those of the Hawaiian Islands. The Azores shelves are clearly narrower than this and the islands themselves are smaller (Faial and São Jorge, are respectively 1043 m and 1053 m in elevation and 14 to 12 km in width), which means they would have been drowned by the combined effects of subsidence and erosion if subjected to such high subsidence rates. The greater width of Hawaiian shelves might also be attributed in part to rapid subsidence coupled with shallow shields that lead to marine erosion acting on only superficial lava flows. Conversely,

359 slower subsidence and steeper subaerial volcanoes in the Azores might have resulted in
360 slower erosion of more deeply buried and hence compacted/cemented lavas.

361 It has been frequently argued that, in Hawai'i, the edge of submarine terraces results
362 from constructional processes (lava entering the water, creating a slope break and
363 submergence due to rapid subsidence) (Moore and Clague, 1992). However, as we have seen
364 in the Azores, a setting with similar wave conditions (Stopa et al., 2011; Rusu and Guedes
365 Soares, 2012), shelf profiles are erosional rather than constructional, even if the islands were
366 subjected to extreme subsidence rates (Table 2). Thus, our study indicates that some of the
367 submarine terraces on the Hawaiian Archipelago might also be erosional in nature,
368 notwithstanding that coral reef growth and subsidence had a greater influence than wave
369 erosion in terrace formation. We therefore postulate that, unless subjected to very extreme
370 subsidence rates and very low erosional rates, or subjected to the combined effects of fast
371 coral accretion, subsidence, and low erosion rates, volcanic insular shelves are dominantly
372 erosive in nature and rarely preserve the original constructional slope profile.

374 **7 Conclusions**

375 Understanding how insular shelves form and develop is crucial to our comprehension
376 of the long-term evolution of the coastal zones of volcanic islands, with implications that can,
377 on occasions, be extrapolated to shorter timescales. Here, we have explored the long-term
378 contribution of subsidence in facilitating and enhancing marine erosion and consequently
379 shoreline retreat on volcanic oceanic islands.

380 The combination of observed morphology with numerical wave erosion modeling
381 suggests that subsidence significantly affects shelf development, in particular shelf width.
382 Thus, rates of shelf widening are not only a function of wave energy, time of exposure, and

glacio-eustatic oscillations. Islands that have suffered greater net subsidence tend to develop wider shelves as opposed to those that have suffered little or no subsidence. In the case of the studied islands, and for subsidence rates $>0.11 \text{ mm yr}^{-1}$ (São Jorge) or $>0.17 \text{ mm yr}^{-1}$ (Faial), the contribution of subsidence to shelf widening surpasses the role of wave erosion. Effectively, the higher the subsidence rate, the greater is its contribution to final shelf or terrace width, as supported by widespread evidence in the Azores Archipelago and likely also in other island settings such as Hawai'i. Nevertheless, our simulated profiles also show that erosion is important enough to result in shelf morphologies that are not constructional in essence, i.e., subsidence assists wave erosion by exposing a greater portion of a volcano to erosional modification. These results therefore provide important new quantitative constraints on the mechanisms that generate insular shelves and submarine terraces, contributing to our stepwise scientific understanding of their morphology and evolution.

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Figure 1. Elevation and bathymetric map of the islands of Faial and São Jorge in the Azores Archipelago. Bathymetry is a compilation of data from *Mitchell et al. (2008)*, *Quartau et al. (2015; 2016)*, and the EMODNET web portal (<http://portal.emodnet-bathymetry.eu>). Digital topography was derived from Instituto Geográfico do Exército 1:25 000 maps. Irregular black lines locate the shelf edges. Dashed blue curves locate the shelf edges surrounding the oldest volcanic edifices of Faial (Quartau and Mitchell, 2013) and São Jorge Islands (Quartau et al., 2016). Black straight lines locate the topographic profiles of Figures 2, where no significant erosion by mass wasting exists.

Figure 2. Cross-shore topographic profiles located on Figure 1 (3:1 vertical exaggeration). Brown lines represent the subaerial and seafloor surfaces. The blue and black solid lines represent tangents to the outermost shelf surface and to the uppermost slope with the highest gradient. These were used to locate the shallow and deeper erosional shelf break estimates (respectively green and red dots; Table 1). Dashed black and blue lines represent respectively, the cross-shore erosional shelf below the sedimentary cover, for the deeper and the shallower shelf break estimates.

Figure 3. Graphical representation of intertidal (E plus tidal subscript) and subtidal (Eu) erosional increments in the model. The tidal acronyms MHWS, MHWN, MT, MLWN and MLWS refer to the mean high water spring, mean high water neap, mid-tide, mean low water neap and mean low water spring levels, respectively. Td, followed by a tidal subscript, refers to the tidal duration value at the corresponding tidal level. The MHWS level has no subtidal erosion but the erosion at all other intertidal levels was the sum of the erosion accomplished

by each of the five types of wave operating at that elevation, and the subtidal erosion that occurs when the water surface is at a higher elevation.

Figure 4. Changes in sea level over the last 1.3 m.yr, showing the increase in oscillation amplitude and decrease in frequency after the Mid-Quaternary Transition (MQT), about 0.8 m.yr ago (Bintanja and van de Wal, 2008).

Figure 5. Simulated cross-shore shelf profiles, with subsidence (dark blue) and without subsidence (light blue). Brown solid lines represent the present-day cross-shore profiles. Dashed black lines represent the cross-shore erosional shelf below the sedimentary cover. Numbers next to profiles (e.g. 630100 BP) represent the time elapsed in years since the shelf break was last cut in the model.

Figure 6. Relation between landmass subsidence and shelf widening due to the increasing erosional effect caused by subsidence. Blue and black dots represent respectively estimates for Faial and São Jorge Islands.

Table 1. Ages of the oldest volcanic edifices at Faial and São Jorge Islands (Figures 1, SM1 and SM2), their erosional shelf break depths estimated as in Figure 2; subsidence rates estimated by the modeling, and contribution of subsidence to increase the shelf width on subsiding shelves due to the combined effect of subsidence and increase in cliff erosion.

	Age of volcanism (Myr)	Erosional shelf break depth (m)		Model subsidence (mm/yr)		Contribution of subsidence to shelf width increase (%)	
		Min	Max	Min	Max	Min	Max
Faial	0.85 ¹	171	280	0.07	0.22	100	127
São Jorge	1.32 ²	262	426	0.14	0.28	124	242

¹From Hildenbrand et al. (2012)

²From Hildenbrand et al. (2008)

Table 2. Results of the modeling of increasing subsidence values and its impact shelf

widening of the Faial and São Jorge sectors studied.

Subsidence (m)		Subsidence rate (mm/yr)		Shelf width (m)		Contribution of subsidence to shelf width increase (%)		Age of shelf break (yr)	
Faial	São Jorge	Faial	São Jorge	Faial	São Jorge	Faial	São Jorge	Faial	São Jorge
0.00	0.00	0.00	0.00	1405.00	1547.00	0.00	0.00	630100	630100
47.22	44.00	0.06	0.03	1808.30	1857.96	28.70	20.10	630100	630100
60.71	66.00	0.07	0.05	1935.90	2098.00	37.79	35.62	630100	630100
85.00	110.00	0.10	0.08	2165.80	2591.50	54.15	67.52	630100	1250050
106.25	146.50	0.13	0.11	2354.10	3012.70	67.55	94.74	630100	1250050
141.67	188.50	0.17	0.14	2682.40	3493.50	90.92	125.82	800075	1250050
170.00	235.50	0.20	0.18	2951.40	4004.90	110.06	158.88	800075	1250050
188.50	286.50	0.22	0.22	3112.40	4535.10	121.52	193.15	800075	1250050
212.50	347.00	0.25	0.26	3317.80	5139.20	136.14	232.20	800075	1250050
265.63	366.50	0.31	0.28	3750.60	5331.00	166.95	244.60	800075	1250050
326.92	388.00	0.38	0.29	4244.30	5537.30	202.09	257.94	800075	1290125
425.00	412.50	0.50	0.31	5040.00	5777.20	258.72	273.45	800075	1290125
531.20	507.50	0.62	0.38	5849.20	6699.90	316.31	333.09	800075	1290125
708.30	660.00	0.83	0.50	7138.00	8149.20	408.04	426.77	800225	1290125
1062.50	825.00	1.25	0.63	9799.00	9654.30	597.44	524.07	800075	1290425
1416.67	942.50	1.67	0.71	12740.80	10675.60	806.82	590.08	835600	1290125
1545.45	1100.00	1.82	0.83	13764.60	12070.70	879.69	680.27	849925	1290125
1700.00	1320.00	2.00	1.00	15105.30	14039.80	975.11	807.55	849925	1290125

1888.50	1650.00	2.22	1.25	16805.00	16956.50	1096.09	996.09	849925	1320000
	1885.50		1.43		19131.50		1136.68		1320000

628

629

Figure 1
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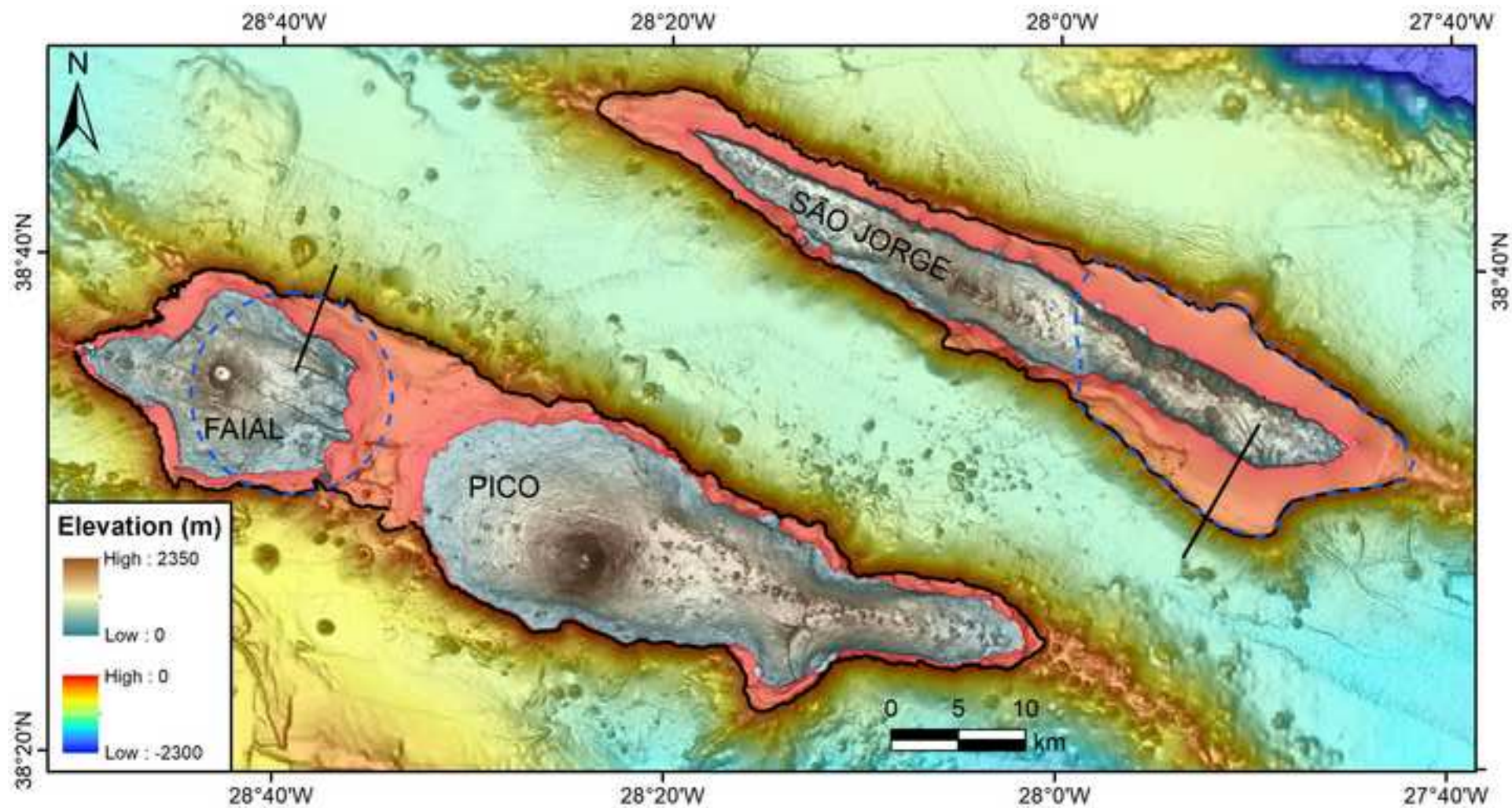


Figure 2
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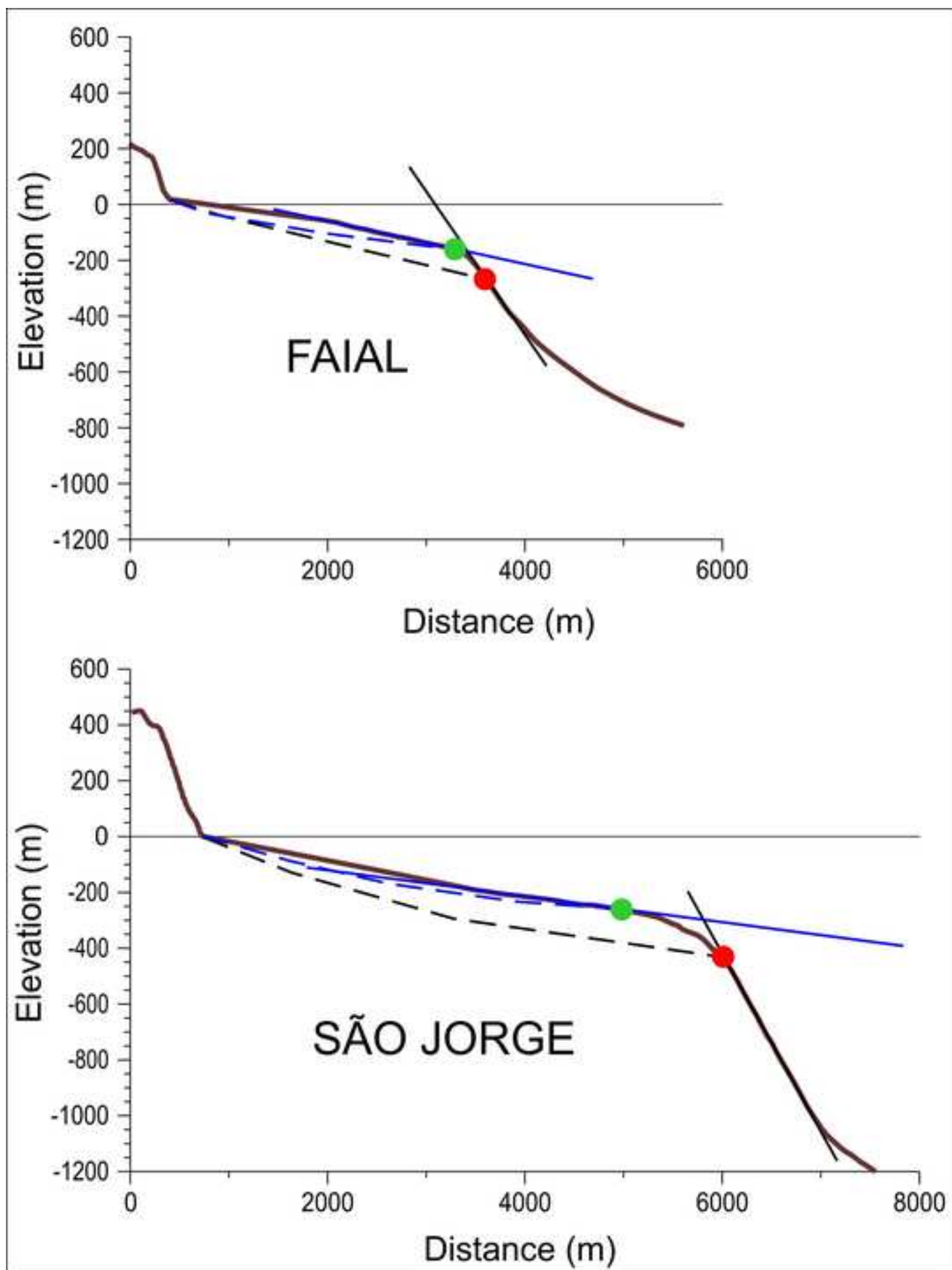
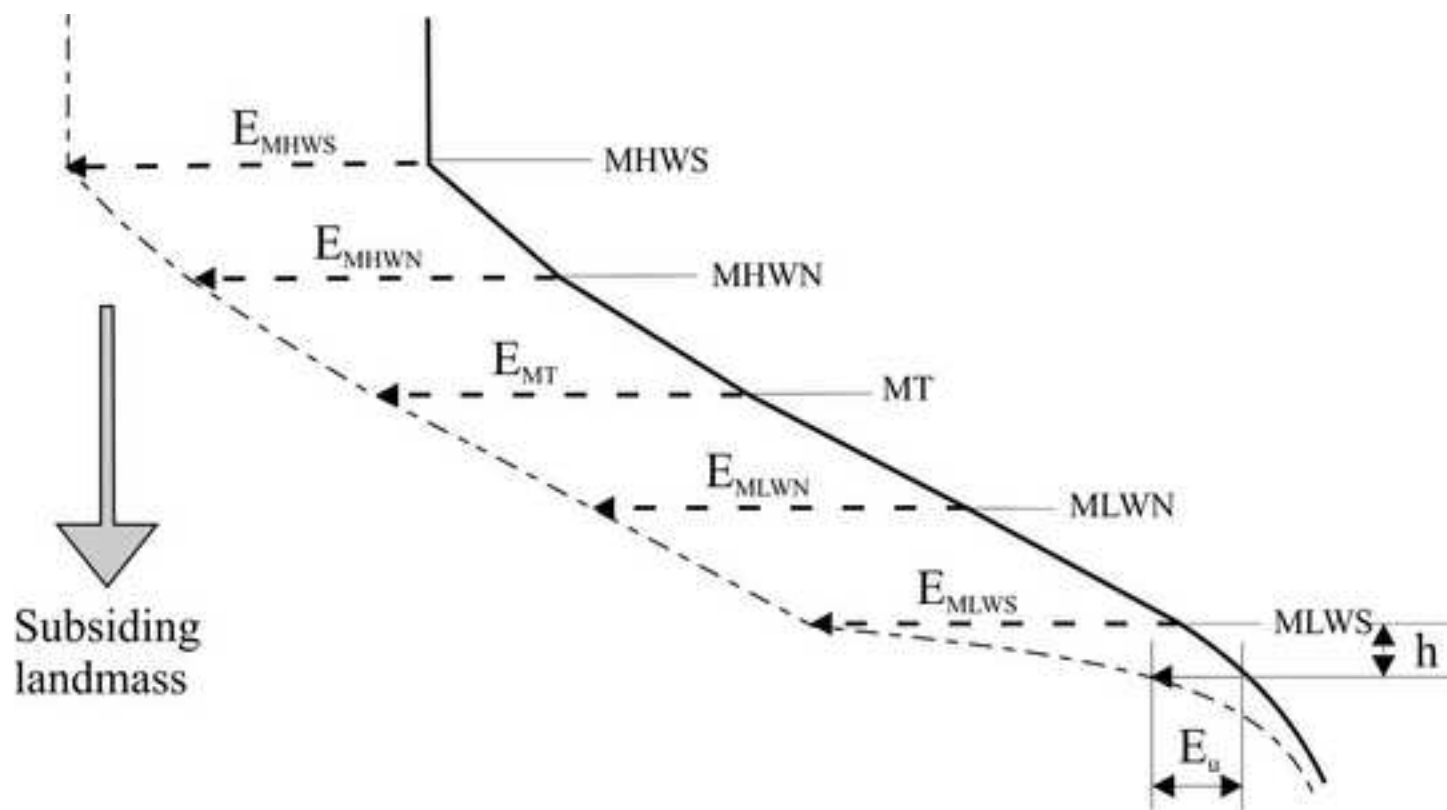


Figure 3

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a) in the intertidal zone (Tr = tidal range):

$$\text{At the MHWS tidal level: } E_{MHWS} = MT_{d(MHWS)} W_n (656 H_b e^{-k W_s} - \tau_c)$$

$$\text{At the MHWN tidal level: } E_{MHWN} = MT_{d(MHWN)} W_n (656 H_b e^{-k W_s} - \tau_c) + E_{MHWS} e^{s(Tr/4)}$$

$$\text{At the MT tidal level: } E_{MT} = MT_{d(MT)} W_n (656 H_b e^{-k W_s} - \tau_c) + E_{(MHWS)} e^{s(Tr/2)} + E_{(MHWN)} e^{s(Tr/4)}$$

etc

b) below the intertidal zone (below MLWS)

$$E_u = E_{MLWS} e^{sh}$$

Figure 4
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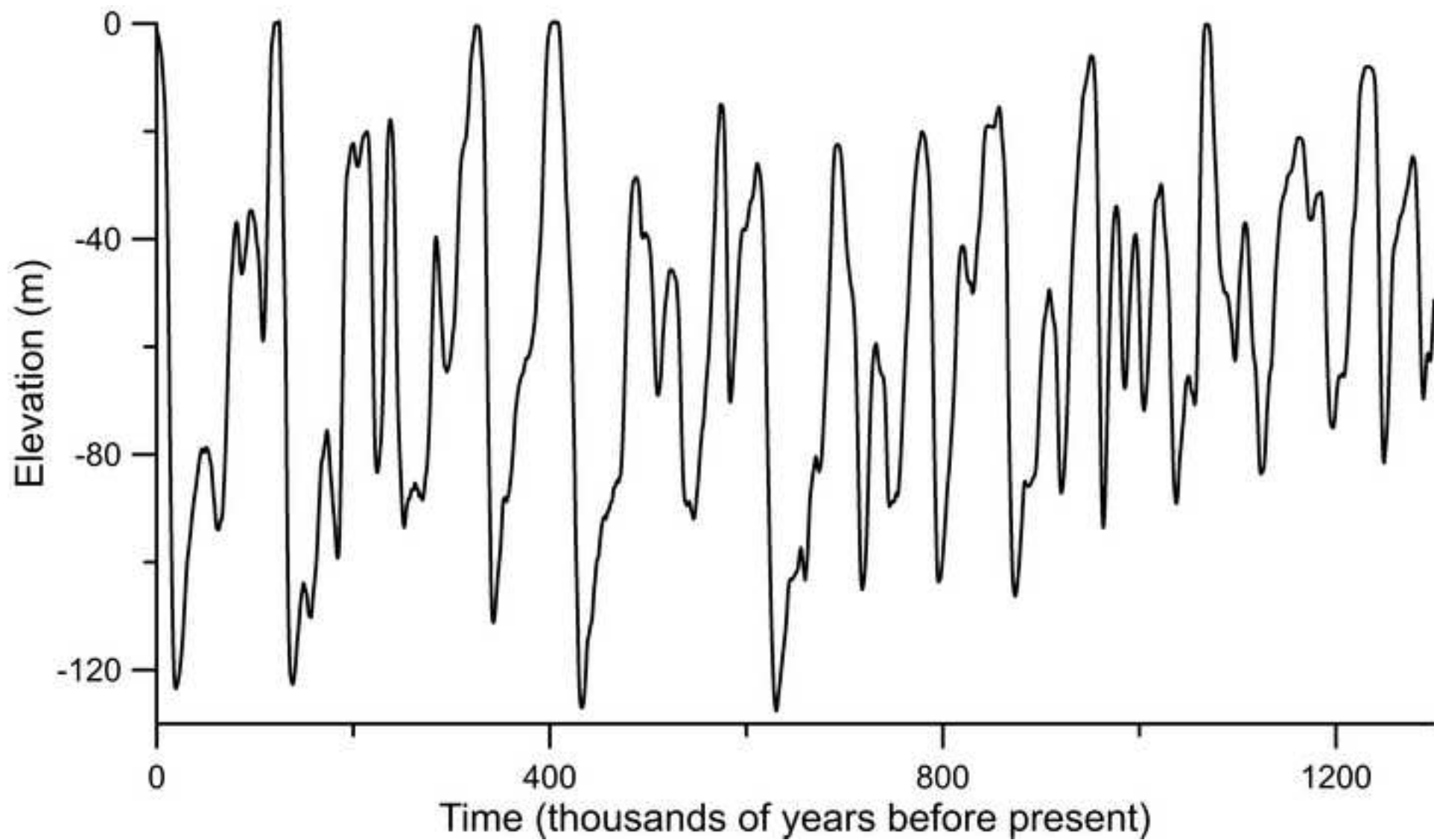


Figure 5
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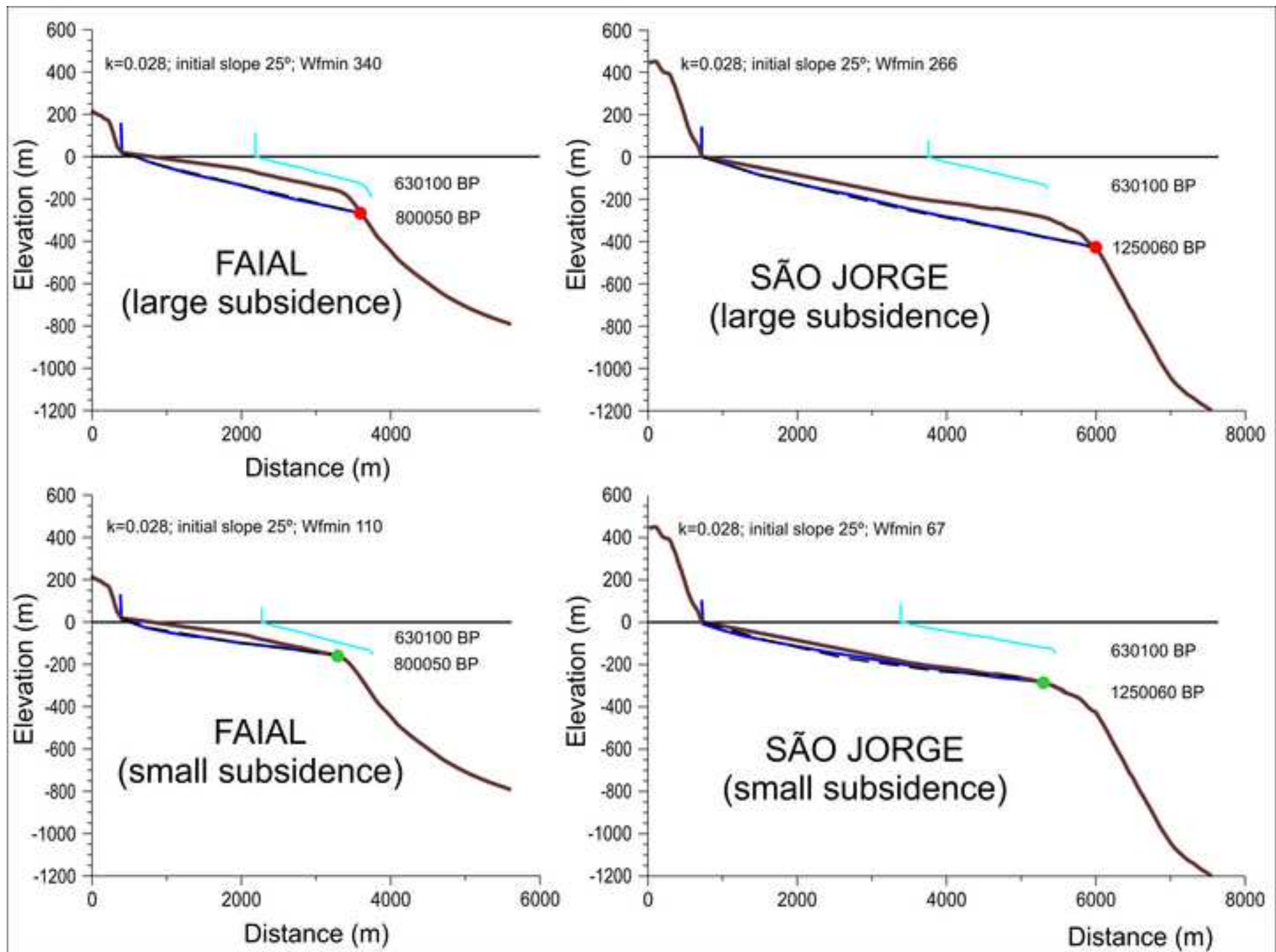
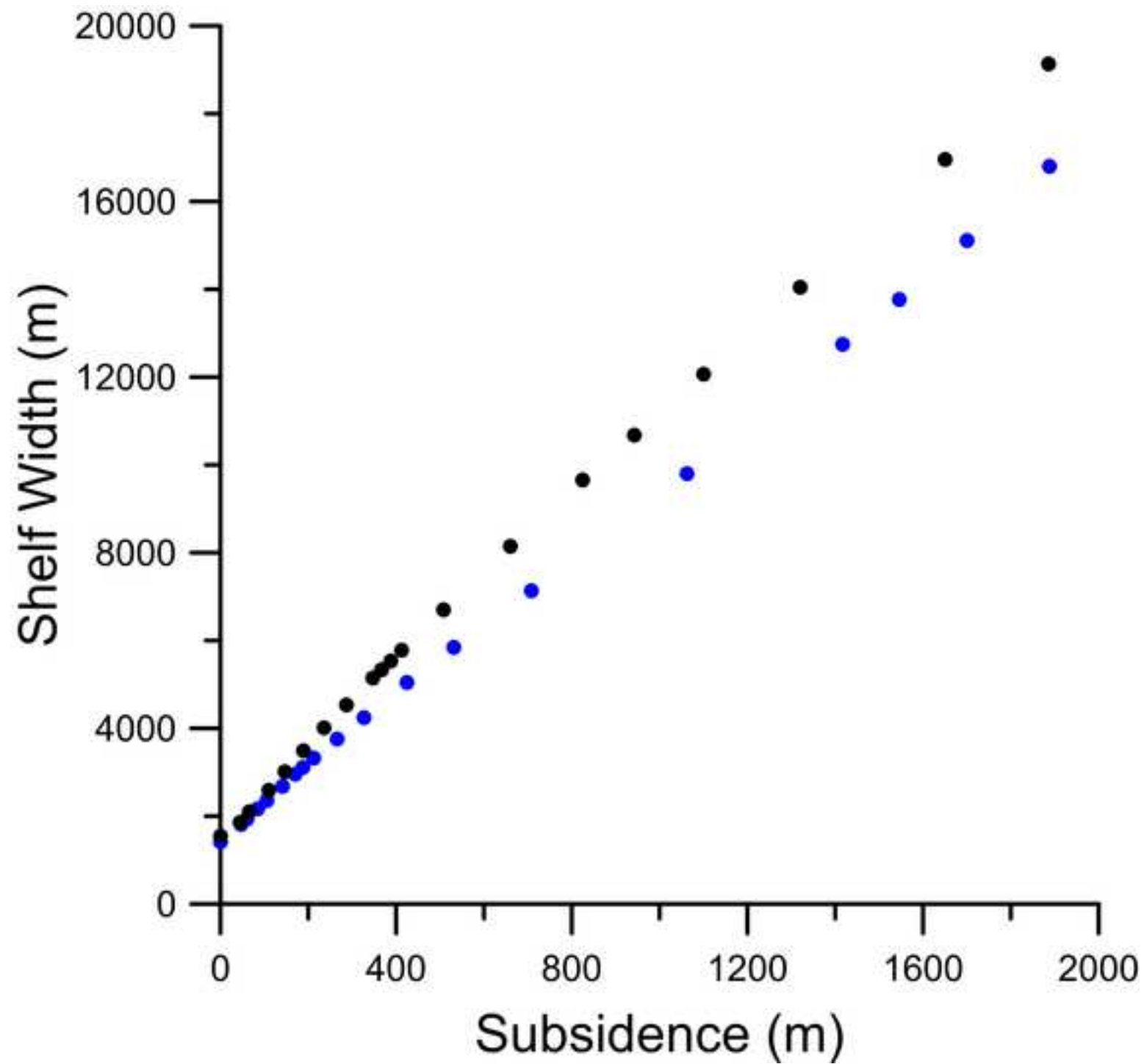


Figure 6
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Supplementary material (Figures)

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